PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

10/1040949 10/040949

In re Application of:

Havenga et al.

Serial No.: To be assigned

Filed: January 7, 2002

For: INFECTION WITH CHIMAERIC ADENOVIRUSES OF CELLS NEGATIVE FOR THE ADENOVIRUS SEROTYPE 5 COXSACKI ADENOVIRUS RECEPTOR (CAR)

Examiner: To be assigned

Group Art Unit: To be assigned

Attorney Docket No.: 2183-5226US

NOTICE OF EXPRESS MAILING

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

In compliance with the duty to disclose information material to patentability pursuant to 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents are enclosed pursuant to 37 C.F.R. § 1.98(a).

In accordance with 37 C.F.R. § 1.97(g) and (h), filing of this Information Disclosure Statement is not to be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b). Further, no representation is made by Applicants herein that no other possible material information as defined in 37 C.F.R. § 1.56(b) exists.

DOCUMENTS

U.S. Patent Documents

| U.S. Patent No. | Issue Date | <u>Inventor</u> |
|-----------------|--------------------------|-----------------|
| 5877011 | Armentano et al. | 03-02-1999 |
| | Foreign Patent Documents | |
| Document No. | <u>Date</u> | Country |
| WO98/22609 | Genzyme | 05-28-1998 |
| WO98/50053 | Genetic Therapy | 11-12-1998 |
| WO00/03029 | Introgene B.V. | 01-20-2000 |
| WO00/52186 | Introgene B.V. | 09-08-2000 |

Other Documents

PCT International Search Report, PCT/NL/99/00435, dated January 21, 2000, 3 pages.

Applicants offer to supply any explanation or discussion of the documents that the Examiner feels is necessary or desirable and which is requested.

This Information Disclosure Statement is filed within three (3) months of the filing date of the above-identified application, and no certification pursuant to 37 C.F.R. § 1.97(c) or a fee pursuant to 37 C.F.R. 1.17(p) is required.

Respectfully submitted,

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Date: January 7, 2002

ACT/bv

Enclosures: Form PTO-1449

Copy of documents cited

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(use as many sheets as necessary)

of 2 Sheet

| Complete if Known | | | | |
|------------------------|-----------------|--|--|--|
| Application Number | To be assigned | | | |
| Filing Date | January 7, 2002 | | | |
| First Named Inventor | Havenga et al. | | | |
| Group Art Unit | To be assigned | | | |
| Examiner Name | To be assigned | | | |
| Attorney Docket Number | 2183-5226US | | | |

| U.S. PATENT DOCUMENTS | | | | | | | |
|-----------------------|--------------------------|---------------|-------------------------------|---|--------------------------------------|--|--|
| | U.S. Patent Docur | | Name of Patentee or Applicant | Date of Publication of | Pages, Columns, Lines, Where Relevan | | |
| Examiner Initials * | Cite No. ¹ | Number Kind C | | Name of Patentee or Applicant of Cited Document | Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevan Passages or Relevant Figures Appear | |
| | | 5877011 | | Armentano et al. | 03-02-1999 | | |
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| Examiner Initials* | Cite No.1 | Fore Office ³ | eign Patent Document Number ⁴ Kind C | Code ⁵ or Applicant of | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ₆ |
| | | WO | 98/22609 | Genzyme | 05-28-1998 | | |
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| | 2011471 | 0.1. D.G | OL COURT | Application Number | To be assigned | | |
| INFORMATION DISCLOSURE | | | | Filing Date | January 7, 2002 | | |
| STATEMENT BY APPLICANT | | | PPLICANT | First Named Inventor | Havenga et al. | | |
| | | | | Group Art Unit | To be assigned | | |
| | (use as man | y sheets as | necessary) | Examiner Name | To be assigned | | |
| Sheet | 2 | of | 2 | Attorney Docket Number | 2183-5226US | フ | |

| _ | OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS | | | | | | |
|------------------------|---|---|----------------|--|--|--|--|
| Examiner Initials * | Cite No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² | | | | |
| | | PCT International Search Report, PCT/NL/99/00435, dated January 21, 2000, 3 pages. | | | | | |
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